Attorney Docket No. 2281-001-03 (v80036US1) Page 1 of 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Inventor/Appellant: Partho Sarkar

Title:

CRACK-RESISTANT ANODE-SUPPORTED FUEL CELL

Application No.

10/658.803

Filed:

September 9, 2003

Examiner/Art Unit: ALEJANDRO, Raymond / 1745

Attorney File No.:

2281-001-03

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited in the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Appeal Brief - Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 31st day of August 2007.

Rosanne F. Cl

### RESPONSE TO NOTIFICATION OF NON-COMPLIANT APPEAL BRIEF

Dear Commissioner for Patents:

In response to a Notification of Non-Compliant Appeal Brief mailed July 31, 2007 in the above-referenced patent application, an amended appeal brief is hereby submitted. Changes to the Appeal Brief filed on February 8, 2007 that are contained in the amended appeal brief are shown in the enclosed redlined version.

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Please contact the undersigned at 425-455-5575 if with any questions or comments regarding this matter. If the Patent Office finds that any fees are due, please charge any additional fees or credit overpayment to Deposit Account No. 07-1897.

Dated: August 31, 2007

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Respectfully submitted.

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Copy of Notification of Non-Compliant Appeal Brief dated July 31, 2007 Redlined version of Revised Appeal Brief dated August 31, 2007 Accepted version of Revised Appeal Brief dated August 31, 2007 Return Postcard

### THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

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ösanne F C

### APPEAL BRIEF

Dear Commissioner for Patents:

This is an appeal pursuant to 37 C.F.R. § 1.191(a) from the decision of the Examiner, dated June 5, 2006, finally rejecting claims 1-12 of the above-referenced patent application. A request for a one-month extension of time is enclosed pursuant to 37 C.F.R 1.36(a).

Attached to this Brief as Appendix A is a claims appendix containing a copy of all the claims involved in the Appeal, as required under 37 C.F.R. §41.37(a)(viii). An evidence appendix as required under 37 C.F.R. §41.37(a)(ix) accompanies this Brief in the form of Appendices B-E. Appendix B contains pages from a publication that illustrates the oxygen ion conduction and high electrical conductivity characteristics of the solid electrolyte yittria stabilized zirconia ("YSZ") that make YSZ suitable for use in

the anode support layer of a solid oxide fuel cell ("SOFC"). **Appendix C** contains pages from a publication illustrating the relatively high electrical resistivity of alumina (aluminum oxide). **Appendix D** contains pages from a publication further discussing desirable characteristics of solid electrolytes suitable for use in SOFCs. **Appendix E** lists several Web sites that contain exemplary information illustrating the characterization and use of alumina as an insulator.

The objective evidence that accompanies this brief as *Appendices B-E* was made of record in the Response to final Office Action filed on October 11, 2006. This evidence was submitted after the final Office Action because this was when the Examiner first requested such evidence (See page of the Interview Summary mailed September 12, 2006). Thus, this evidence was necessary to fully respond to the Examiner's request and could not have been earlier presented since such a request had not been made until that point in the prosecution of the present application. These are certainly good and sufficient reasons pursuant to 37 C.F.R. § 1.116(e) and this evidence is therefore of record in the present application in accordance with 37 C.F.R. §41.37(c)(2).

A related proceedings appendix as required under 37 C.F.R. §41.37(c)(1)(ii) accompanies this brief as *Appendix F* and indicates there are no related appeals, interferences, or judicial proceedings, as is discussed in more detail in Section II below.

### I. Real Party In Interest

The real party in interest is Alberta Research Council, Inc., having a principal place of business at 250 Karl Clark Road, Edmonton, Alberta T6N 1E4, Canada. The inventors assigned this application to Alberta Research Council, Inc. ("Assignee") in an Assignment recorded on February 9, 2004 at reel no. 014958, frame no. 0347.

### II. Related Appeals and Interferences

Based on information obtained from Alberta Research Council, Inc., and based on information and belief of the undersigned attorney, there are no prior or pending

appeals, interferences, or judicial proceedings known to Appellant, Appellant's legal representative the undersigned attorney, or the Assignee, which are related to, which directly affect or which will be directly affected by, or which have a bearing on the decision of the Board of Patent Appeals and Interferences ("the Board") in the pending Appeal. There are no such appeals, interferences, or judicial proceedings as indicated in the related proceedings appendix that accompanies this brief as **Appendix F**.

### III. Status of Claims

Claims 1-13 are pending and stand rejected by the Examiner. Claims 1-12 are appealed.

### IV. Status of Amendments

An Amendment After Final was filed on October 11, 2006 in response to a Final Office Action mailed on June 5, 2006. In an Advisory Action mailed on October 17, 2006, the Examiner indicated the proposed amendments in the Amendment After Final would not be entered as raising new issues that would require further consideration and/or search. *Appendix A* includes all the appealed claims 1-12 as they are currently pending.

### V. Summary of Claimed Subject Matter

This summary of the invention provides cross-referencing to the application as required by 37 C.F.R. § 41.37(c)(v). This cross-referencing is solely to assist the Board in understanding the Applicant's invention and is not meant to be exhaustive or to limit the scope of the pending claims.

Independent claim 1 recites an anode-supported solid oxide fuel cell including an anode support layer comprising a porous ion-conducting structure having pores impregnated with a catalytic and electronically conductive material. An electrolyte layer is in adjacent intimate contact with the anode support layer and a cathode layer is in adjacent intimate contact with the electrolyte layer.

Figure 1 illustrates a planar anode-supported solid oxide fuel cell 2 corresponding to one embodiment of present invention covered by claim 1. The anodesupported solid oxide fuel cell recited in claim 1 corresponds to the fuel cell 2 in the embodiment of Figure 2. See page 5, lines 25-30 and page 6, lines 1-3 (paragraph 16). The anode support layer recited in claim 1 corresponds to an anode support layer (ASL) 16 in the embodiment of the fuel cell 2 shown in Figure 2. Id. Claim 1 further recites that the anode support layer includes a porous ion-conducting structure having pores impregnated with a catalytic and electronically conductive material. This recited porous ion-conducting structure having pores impregnated with a catalytic and electronically conductive material is discussed on page 6, lines 16-30 and page 7, lines 1-2 (paragraph 19) with reference to the ASL 16. Claim 1 further recites an electrolyte layer that is in adjacent intimate contact with the anode support layer, where the recited electrolyte layer corresponds to an electrolyte layer 12 in the embodiment of Figure 1. Id. Finally, claim 1 recites a cathode layer that is in adjacent intimate contact with the electrolyte layer, where the recited cathode layer corresponds to a cathode layer 10 in the embodiment of Figure 2. Id.

Turning now to independent claim 12, this claim recites an anode-supported solid oxide fuel cell including a cathode layer in adjacent intimate contact with an electrolyte layer that is in adjacent intimate contact with an anode functional layer. The anode functional layer is in adjacent intimate contact with an anode support layer comprising an ion-conducting structure with a plurality of vias extending through the thickness of the oxygen ion-conducting structure, with at least some of the vias being filled with electronically conductive material.

Claim 12 covers, for example, the embodiment of the present invention illustrated in Figure 2. The anode-supported solid oxide fuel cell recited in claim 12 corresponds to the fuel cell 2 in the embodiment of Figure 2. See page 8, lines 22-30 and page 9, lines 1-3 (paragraph 24). Claim 12 further recites that the fuel cell includes a cathode layer in adjacent intimate contact with an electrolyte layer that is in adjacent intimate contact with an electrolyte layer that is in adjacent intimate contact with an anode functional layer. The recited cathode layer, electrolyte layer, and anode functional layer correspond to a cathode layer 10, electrolyte layer 12,

and anode functional layer 14, respectively, in the embodiment of Figure 2. Claim 12 further recites that the anode functional layer, which corresponds to layer 14 in Figure 2, is in adjacent intimate contact with an anode support layer comprising an ion-conducting structure with a plurality of vias extending through the thickness of the oxygen ion-conducting structure, with at least some of the vias being filled with electronically conductive material. The recited anode support layer corresponds to the anode support layer 16 in the embodiment of Figure 2. *Id.* The recited plurality of vias extending through the thickness of the oxygen ion-conducting structure correspond to the vias 20 in the embodiment of Figure 2. *Id.* 

### VI. Grounds of Rejection to Be Reviewed On Appeal

- 1. Whether U.S. Patent Application Publication No. 2002/0164523 to Shibata et al. ("Shibata") anticipates claims 1-6, 9, and 11-12 under 35 U.S.C. § 102(e).
- 2. Whether claims 7-8 and 10 are obvious under 35 U.S.C. § 103(a) in view of the combination of Shibata and U.S. Patent Application Publication No. 2002/0028367 to Sammes et al. ("Sammes").

### VII. Argument

1. Claims 1-6, 9, and 11-12 are allowable under 35 U.S.C. § 102(e) over U.S. Patent Application Publication No. 2002/0164523 to Shibata et al. ("Shibata") because Shibata neither discloses nor suggests an anode support layer comprising a porous ion-conducting structure having pores impregnated with a catalytic and electronically conductive material.

"Under 35 U.S.C. § 102, every limitation of a claim must identically appear in a single prior art reference for it to anticipate the claim." *Gechter v. Davidson*, 42

USPQ2d 1030, 1032 (Fed. Cir. 1997). Before determining whether a prior art reference anticipates a claim, one must first construe the claim "to define the scope and meaning of each contested limitation." *Id.* The proper starting place in any claim construction analysis is the claim language itself read in view of the specification and the prosecution history. *Phillips v. AWH Corp.*, 415 F.3d 1303 (Fed. Cir. 2005) (*en banc*).

In the final Office Action mailed 5 June 2006, the Examiner maintained the rejections of claims 1-6, 9, and 11-12 under 35 U.S.C. § 102(e) as being anticipated by Shibata. On September 7, 2006, the undersigned along with the inventor Partho Sarkar and Brian Y. Lee, Canadian counsel for the Assignee, held a telephone interview with the Examiner to discuss the rejections of the pending claims (See the Examiner's Interview Summary mailed September 12, 2006 that is part of the file history of the present application). During this telephone interview, the Examiner construed the "porous ion-conducting structure having pores impregnated with a catalytic and electronically conductive material" recited in claim 1 as corresponding to the porous metallic base body 1 illustrated in Figures 4-7. Paragraph 44 of Shibata states "it may be possible for the porous metallic base body [1] to be made of a ceramic which is plated with the above metals [e.g., nickel Ni] or with the alloy containing the above metals." Paragraph 69 more specifically provides "the porous base body 1 ... includes a ceramic (alumina) body plated with [nickel] Ni." In both the final Office Action (Item 2, bottom of page 3) and during the telephone interview, the Examiner erroneously concluded that the alumina portion of the base body 1 corresponds to the "porous ionconducting structure" and the nickel Ni coating corresponds to the "catalytic and electronically conductive material" as recited in claim1.

Notwithstanding the Examiner's assertions, alumina is not a porous ion-conducting material. This fact is well understood and known by those skilled in the art. Alumina is an insulator having a resistivity that is too high for this material to be considered a solid electrolyte and an oxygen ion conductor suitable for use in a solid oxide fuel cell. In order for a material to be considered an oxygen ion conductor suitable for use in an electrode of a solid oxide fuel cell, the material must provide the necessary and efficient ionic path for anodic reaction which takes place during solid

oxide fuel cell operation. As is well known in the solid oxide fuel cell art, the ionic conductivity of the anode must be comparable to the ionic conductivity of the electrolyte. Moreover, alumina's resistivity is too high at the operating temperature of a solid oxide fuel cell to perform the required electrode function for the fuel cell.

During the Examiner interview, the Examiner contended that even if alumina is considered in the prior art as an insulating material this material is nonetheless capable of conducting some ions, even if such ion conductivity is poor. See page 3 of the Interview Summary. The Examiner requested objective evidence to support that such an alumina ceramic is "incapable" of transporting ions, meaning that the material exhibits no ion conduction at all. *Id*.

A suitable material for the recited anode support layer and thus an ionconducting material is yittria stabilized zirconia (YSZ). See, e.g., paragraph 8 of the present application. YSZ is an excellent conductor of negatively charged oxygen (oxide) ions at high temperatures. See paragraph 3. A solid oxide fuel cell operates at an elevated temperature, typically on the order of between 700-1000 °C. Id. See page 101 of the Suresh publication that accompanies this amendment as Appendix B regarding general characteristics of ion-conducting materials. Other oxygen ion conducting materials suitable for use in a solid oxide fuel cell may be substituted for YSZ in the anode support layer. See paragraph 19. A characteristic of a solid electrolyte, which may otherwise be known as a fast ion conductor or a superionic solid, is a high electrical conductivity in the range of 10<sup>-1</sup>-10<sup>-4</sup> ohm<sup>-1</sup>xcm<sup>-1</sup> (i.e. a resistivity of 10-10,000 ohm<sup>-1</sup>cm<sup>-1</sup>). See page 17 of **Appendix B**. In contrast, alumina (aluminum oxide) has a resistivity of 5.0 X 10<sup>8</sup> at 700°C and 2 X 10<sup>6</sup> at 1000°C (see page 959 of the Shackelford and Alexander publication, which accompanies this amendment as Appendix C. This large resistivity of alumina plainly evidences that alumina is not a solid electrolyte/fast ion conductor/superionic solid suitable for use in a solid oxide fuel cell. See the highlighted portions of the article that accompanies this amendment as Appendix D for additional information regarding desired resistivity values in fuel cells. Appendix E contains a listing of several Web sites that illustrate alumina being characterized and used as an insulator and not an ionic-conductor.

All the above evidence clearly demonstrates that the Examiner is in error in asserting that the alumina disclosed in Shibata corresponds to the recited porous ionconducting material. Moreover, the Examiner's contention that because alumina must exhibit some ion conductivity the base body 1 of Shibata may be considered to correspond to the porous ion-conducting structure of the anode support layer recited in claim 1. Materials are classified as having physical characteristics that result in each material being placed in a particular class of materials, such as an electric or thermal conductor or insulator or an ionic conductor or insulator. Materials are not classified in absolute terms as would be required using the Examiner's logic. The Examiner requested evidence that "ceramic alumina is INCAPABLE of transporting ions (no ion conduction at all)." See page 3 of the Interview Summary. No such evidence can be provided for any material. Although classified as a particular type of material, every material will exhibit some characteristics of another class of materials. For example, materials classified as electrical insulators exhibit some amount of electrical conductivity, but such conductivity is so small that these materials are nonetheless classified as insulators. If the Examiner's argument was accepted, then the classification of materials would be rendered meaningless. Any material could be said to be whatever type of material was needed by an Examiner when formulating a rejection.

Pursuant to MPEP § 2111, during patent examination the pending claims must be given their broadest reasonable interpretation consistent with the specification. The Examiner expressly mentioned this well known tenet of patent examination during the Examiner interview. As expressly set forth in Section 2111, the "broadest reasonable interpretation of the claims must also be consistent with the interpretation that those skilled in the art would reach." As evidenced by the accompanying technical literature, one skilled in the art would not interpret the phrase "ion-conducting structure" to include the insulating material of alumina ceramic. The Examiner's attempt to so interpret this phrase is simply put an unreasonable interpretation of this language. Although the Examiner cites the "broadest reasonable interpretation" language of Section 2111 as the rationale for his interpretation, such an interpretation of this language plainly in violation of this section of the MPEP as well as in contravention of common sense.

For all these reasons, Shibata neither discloses nor suggests an anode support layer comprising a porous ion-conducting structure having pores impregnated with a catalytic and electronically conductive material as recited in claim 1. The base body 1 of Shibata simply cannot be said to correspond to the recited anode support layer. As discussed above, an alumina ceramic simply is not "a porous ion-conducting structure." Because an alumina ceramic exhibits some ion conductivity does not make such an alumina ceramic an ion-conducting structure. As previously discussed with reference to *Appendices B-E*, alumina's resistivity is too high for this material to be considered a solid electrolyte and an ion conductor for a solid oxide fuel cell. Alumina's resistivity is also too high at the operating temperatures of solid oxide fuel cells to carry out the required electrode function.

Furthermore, claim 1 recites an electrolyte layer in intimate contact with the anode support layer. Shibata discloses electrodes 10 and 11 to be in intimate contact with the electrolyte and not the base body 1 asserted by the Examiner to correspond to the anode support layer. Thus, claim 1 is allowable for this additional reason.

For all these reasons, the combination of elements recited in claim 1 is allowable and dependent claims 2-11 are allowable for at least the same reasons as claim 1 and due to the additional limitations added by each of these claims.

Claim 12 recites an anode-supported solid oxide fuel cell comprising an anode support layer including an ion-conducting structure with a plurality of vias extending through the thickness of the oxygen ion-conducting structure. At least some of the vias are filled with electronically conductive material. An anode functional layer is in adjacent intimate contact with the anode support layer and an electrolyte layer is in adjacent intimate contact with the anode functional layer. A cathode layer is in adjacent intimate contact with the electrolyte layer.

Shibata neither discloses nor suggests an anode support layer as recited in claim 12. The base body 1 of Shibata simply cannot be said to correspond to the recited anode support layer since an alumina ceramic is not an oxygen ion-conducting structure. Simply because an alumina ceramic exhibits some ion conductivity does not make such an alumina ceramic an ion-conducting structure. Alumina's resistivity is too

high for this material to be considered a solid electrolyte and an oxygen ion conductor for a solid oxide fuel cell and the resistivity is also too high at the operating temperatures to carry out the required electrode function.

For all these reasons, the combination of elements recited in claim 12 is allowable.

During the interview, the Examiner also raised what he termed a potential 35 U.S.C. § 112, paragraph 1, issue regarding the recitation of an ion-conducting structure in the claims and pointed to paragraph 19 of the specification to support his assertion that only a description of oxygen ion conducting materials is contained in the application. See page 3 of the Interview Summary. The Examiner states that "the invention, as presently claimed, may have a [Section] 112 issue (lack of adequate written support, and may be [sic] lack of enablement) because it clearly does not disclose, suggest or teach how "ANY" ion conducting material can be suitably used in the claimed anode structure." While not at issue here a brief reply to this comment is provided. It is the Examiner and not the Applicant that is inserting the word "any" before the claim term "ion-conducting structure" recited in claims 1 and 12. Claim terms must be construed in the context of the application. As expressly noted by the Examiner on page 3 of the Interview Summary, the present application (paragraph 19, lines 4-7) states "other oxygen ion conducting materials suitable for SOFC use and having a relatively similar thermal coefficient to the electrolyte, as is known in the art, may be substituted for YSZ." Claims 1 and 12 are directed to anode-supported solid oxide fuel cells. In the context of solid oxide fuel cells, one skilled in the art would understand the recited term "ion conducting structure" to relate to oxygen ions. No Section 112 issue exists.

2. Claims 7-8 and 10 are nonobvious under 35 U.S.C. § 103(a) in view of the combination of Shibata and U.S. Patent Application Publication No. 2002/0028367 to Sammes et al. ("Sammes") since there is no disclosure or suggestion.

In the final Office Action, the Examiner rejected claims 7, 8 and 10 under 35 U.S.C. § 103(a) as being obvious in view of Shibata and Sammes. As discussed

above, nothing in Shibata discloses or suggests the recited anode support layer. Furthermore, Sammes describes anode layers that comprise different ratios of electrochemically active substance. Sammes also describes a process for manufacturing a solid oxide fuel cell anode wherein YSZ powder is added to NiO powder and these materials are milled, extruded, dried and sintered together. As discussed above, one would expect a cross-sectional micrograph of the base body described in Sammes to reveal a porous structure comprising a homogenous composition within each layer. There is no suggestion in Sammes to impregnate catalytic and electronically conductive material into the pores of an anode support layer, thereby creating an anode support layer having a non-homogeneous porous structure.

Claim 7 recites the fuel cell of claim 5 wherein the catalytic and electronically conductive material is Ni-containing material and is compositionally graded through the thickness of the anode support layer, with a higher concentration of the Ni-containing material at one major surface of the anode support layer than the other. The recited porous structure is non-homogeneous in that the electronically conductive material of nickel Ni is compositionally graded through the thickness of the anode support layer. As a result, the anode support layer has a higher concentration of nickel Ni at one major surface than at the other major surface. Within the recited single anode support layer the electronically graded material is graded through the thickness of this single layer. Sammes discloses multiple layers with the concentration within each layer being homogeneous or constant and not graded. For these reasons, even if combined Shibata and Sammes do not teach or suggest the recited structure of claim 7. Claim 7 is accordingly allowable.

Claim 8 recites the fuel cell of claim 7 wherein the anode support layer further comprises a second conductive metal selected from the group of ferritic steel, super alloy, and Ni-Ag alloy and which is concentrated at the major surface of the anode support layer having the lower concentration of Ni-containing material. Once again, Sammes neither discloses or suggest a single anode support layer having a nickel Ni concentration graded as recited in claim 7 and then a second conductive material concentrated at the major surface of the anode support layer having the lower

concentration of nickel Ni as recited in claim 8. Claim 8 is accordingly allowable for these additional reasons.

### 3. Dependent Claims Not Specifically Addressed In Section VIII

All dependent claims not specifically addressed in this section are patentable by virtue of their respective dependencies from claims for which the Applicants have presented an argument for patentability.

### VIII. Claims Appendix

Appendix A includes all the appealed claims 1-12 as they are currently pending.

### IX. Evidence Appendix

**Appendices B through E** include all objective evidence that was made of record in the Response to Final Office Action filed on October 11, 2006.

### X. Related Proceedings Appendix

Appendix F indicates there are no related appeals, interferences, or judicial proceedings, as is discussed in more detail in Section II above.

### XI. Conclusion

For the foregoing reasons, the Applicants request the Board to reverse the Examiner's rejection of claims 1-6, 9, and 11-12 under 35 U.S.C. § 102(e) and his rejection of claims 7, 8, and 10 under 35 U.S.C. § 103(a) and remand the application to the Examiner for issuance of claims 1-12.

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Attorney Docket No. 2281-001-03 (v80036US1)

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Dated: August 31, 2007

Respectfully submitted,

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Appendices A-F Return Postcard



### **APPENDIX A**

- 1. An anode-supported solid oxide fuel cell comprising
  - (a) an anode support layer comprising a porous ion-conducting structure having pores impregnated with a catalytic and electronically conductive material;
  - (b) an electrolyte layer in adjacent intimate contact with the anode support layer; and
  - (c) a cathode layer in adjacent intimate contact with the electrolyte layer.
- 2. The fuel cell of claim 1 wherein the catalytic and electronically conductive material is selected from the group of nickel, copper, silver, tungsten, and any alloys of these materials.
- 3. The fuel cell of claim 2 further comprising a second phase material mixed with the catalytic and electronically conductive material, the second phase material being selected from the group of yttriastabilized zirconia (YSZ), doped cerium oxide, alumina or its salts.
- 4. The fuel cell of claim 2 further comprising an anode functional layer between the anode support layer and the electrolyte layer such that the electrolyte layer is in adjacent intimate contact with the anode functional layer instead of the anode support layer.
- 5. The fuel cell of claim 4 wherein the porous ion-conducting structure of the anode support layer is substantially yttria-stabilized zirconia (YSZ).

- 6. The fuel cell of claim 5 wherein the catalytic and electronically conductive material is substantially evenly distributed throughout the anode support layer.
- 7. The fuel cell of claim 5 wherein the catalytic and electronically conductive material is Ni-containing material and is compositionally graded through the thickness of the anode support layer, with a higher concentration of the Ni-containing material at one major surface of the anode support layer than the other.
- 8. The fuel cell of claim 7 wherein the anode support layer further comprises a second conductive metal selected from the group of ferritic steel, super alloy, and Ni-Ag alloy and which is concentrated at the major surface of the anode support layer having the lower concentration of Ni-containing material.
- 9. The fuel cell of claim 4 further comprising a porous zirconia-nickel cermet buffer layer sandwiched in between the anode support layer and anode functional layer, and having a porosity between 40-90%.
- 10. The fuel cell of claim 4 wherein the porous ion conducting structure of the anode support layer is comprised of a mixture of 10-30 vol. % of Ni, or NiO or both, and the balance yttria-stabilized zirconia (YSZ).
- 11. The fuel cell of claim 4 wherein the anode support layer further comprises a plurality of vias extending through the thickness of the ion conducting structure of the anode support layer, at least some of the vias being filled with an electronically conducting material.
- 12. An anode-supported solid oxide fuel cell comprising

- (a) an anode support layer comprising an ion-conducting structure with a plurality of vias extending through the thickness of the oxygen ion-conducting structure, at least some of the vias being filled with electronically conductive material;
- (b) an anode functional layer in adjacent intimate contact with the anode support layer;
- (c) an electrolyte layer in adjacent intimate contact with the anode functional layer; and
- (d) a cathode layer in adjacent intimate contact with the electrolyte layer.
- 13. An anode-supported solid oxide fuel cell comprising
  - (a) an anode support layer;
  - (b) a porous cermet buffer layer in adjacent intimate contact with the anode support layer, and being composed of a zirconia-nickel cermet with a porosity between 40 and 90%;
  - (c) an anode functional layer in adjacent intimate contact with the buffer layer;
  - (d) an electrolyte layer in adjacent intimate contact with the anode functional layer; and
  - (e) a cathode layer in adjacent intimate contact with the electrolyte layer.

### APPENDIX B

superionic solids

PRINCIPLES AND

**APPLICATIONS** 

SURESH CHANDRA

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NORTH-HOLLAND PUBLISHING COMPANY AMSTERDAM  $\cdot$  NEW YORK  $\cdot$  OXFORD

### **CHAPTER 2**

### Superionic Materials and Structures

Superionic solids are ionic materials with high electrical conductivity comparable with those of liquid electrolytes. These materials are also termed "solid electrolytes" or "fast ion conductors". Typically a superionic solid has the following characteristics:

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- (i) crystal bonding is ionic;
- (ii) electrical conductivity is high  $(10^{-1} 10^{-4} \text{ ohm}^{-1} \text{ cm}^{-1});$
- (iii) principal charge carriers are ions which means that the ionic transference number  $(t_{ion})$  is almost equal to 1 (here  $t_{ion}$  refers to the fractional contribution of the ionic conductivity to the total conductivity);
- (iv) the electronic conductivity is small; generally materials with electronic transference number  $(t_e)$  less than  $10^{-4}$  are considered satisfactory superionic solids.

The values of electrical conductivity of a few ionic and superionic solids are shown in Figure 2.1. The highest conductivity at room temperature obtained so far is for RbAg<sub>4</sub>I<sub>5</sub> which is 0.27 ohm<sup>-1</sup> cm<sup>-1</sup>. This is many birders of magnitude higher than those of the more commonly known ionic folids KCl, NaCl etc. which have room temperature conductivity  $\sim 10^{-12}$   $-10^{-16}$  ohm<sup>-1</sup> cm<sup>-1</sup>. It may be noted that most of the superionic materials attain a high electrical conductivity above a certain temperature which may or may not be well defined. In other words, with increasing temperature the electrical conductivity sometimes changes gradually (as in  $\beta$ -alumina) or shows an abrupt jump (e.g. in  $\beta$ -AgI, RbAg<sub>4</sub>I<sub>5</sub> etc.). Furthermore, for the latter materials the abrupt conductivity change is sometimes associated with a distinct structural change (like the  $\beta \rightarrow \alpha$  transition in (gl) but sometimes this is not so clear (as in RbAg<sub>4</sub>I<sub>5</sub>). Structures which allow fast ion transport are generally disordered, "channelled" or "layered" (Wiedersich and Geller 1971). Ion—Ion interactions or correlation

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over. Owens (1971) has noted that M<sup>†</sup> ions with volumes greater than 85 (A<sup>3</sup>) do not generally form conductive compounds. Furthermore, for bigger cations a lesser amount may be needed. For example, the maximum conductivity is obtained with 13 mole % MI for the (CH<sub>3</sub>)4NI—AgI system, 12.5 mole % in the (CH<sub>3</sub>)<sub>2</sub>(C<sub>2</sub>H<sub>5</sub>)<sub>2</sub>NI—AgI system and 12 mole % in the (C<sub>2</sub>H<sub>5</sub>)<sub>4</sub>NI—AgI system. This means that the percentage of added cations decreases with its increasing size as is expected from Raleigh's picture.

The above structural principles have been deduced on the basis of the very small amount of available structural data — mostly on cation substituted systems. More structural studies would be necessary both on affion and cation substituted systems to arrive at a better understanding.

### 8 Oxygen ion conductors

The first solid oxide electrolyte ever used was probably the ceramic the composition 85 wt % ZrO<sub>2</sub>, 15 wt % Y<sub>2</sub>O<sub>3</sub> which was used by Nerrist (1899) as an incandescent lighting material. Later Baur and Preis (1937) ised this material for a fuel cell. The definite conductivity mechanism in terms of oxygen vacancies was proposed by Wagner (1943) and later vert fied by Hund (1952). However, the sudden increase of scientific interest in such materials started after Kiukola and Wagner (1957a, 1957b) illustrated their use in high-temperature thermodynamic measurements and fuel cells. In principle, almost all oxides can be expected to show some degree of oxygen ion conduction particularly the non-stoichiometric ox ides. The non-stoichiometry can be created by heating the metal oxides in vacuum or oxygen or the relevant metallic vapour (Wagner 1974). Most of the oxygen ion conductors are good only at high temperatures ( $\sim 1000$  C) and are mixed conductors (ionic + electronic + electron—trole). As in the ase of other ionic conductors, the conductivity in this case is strongly dependent on the temperature and doping with allovalent impurities (like Ca2+, Y3+, Sr2+ etc. in HfO2, CeO2 etc.) which control the number of point defects and their mobility. However, a unique property of oxide conductors is the dependence of the conductivity on the ambient pressure or activity. If the ambient oxygen pressure is low, the oxygen ions (Oa) would leave the solid electrolyte according to the following mechanism

The defects are expressed in the Kröger-Vink notation: the symbol indicates the defect; the subscript the location of the defect; and the superscripts (') (') denote negative and positive charges respectively. For example Vo means vacancy at the oxygen site having effectively two positive charges. Similarly, Of would indicate an oxygen line at the interstitial site with effectively two negative charges.

tions, cooperative hopping or correlation effects play important roles. Details of the conductivity mechanism will be discussed in Chapter 4. Figure 2.46 gives a schematic plot of conductivity as a function of partial pressure of ambient oxygen for a typical oxide electrolyte at three different temperatures. The higher the temperature, the lower is the range of oxygen pressure over which the conductivity is ionic. The dependence of the range of ionic conduction on concentration of aliovalent dopant like Ca<sup>2+</sup> or Y<sup>3+</sup>) is shown in Figure 2.47. The effect of increasing concentration is to broaden the range of ionic conductivity and shift the whole conductivity curve to lower  $p_0$ , values.

Most of the useful oxide electrolytes developed so far are based on ThO<sub>2</sub>, CeO<sub>2</sub>, HfO<sub>2</sub> and zirconia, though some other systems have also been described. For an earlier review see Etsell and Flengas (1970). The common structure which sustains high oxygen ion conduction is the "fluorite structure". The fluorite structure for MO<sub>2</sub> (M = metal ion Th, Co etc) is shown in Figure 2.48. In this structure there are a large number of octahedral interstitial voids. Each metal cation is surrounded by eight

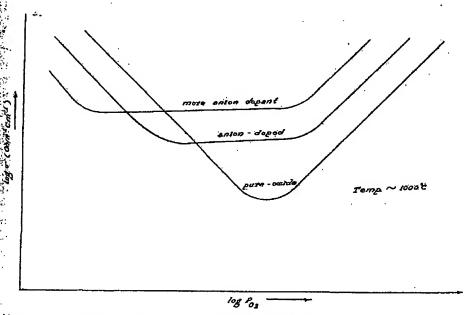


Fig. 2.47 Schematic representation of the dependence of conductivity of any oxide ion electrolyte on oxygen partial pressure for samples doped with different concentrations of allovalent anion (Ca<sup>2+</sup>, Y<sup>3+</sup> etc.).

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### MATERIALS SCIENCE ENGINEERING

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Research Engineer Division of Materials Science and Engineering University of California, Davis



Boca Raton London New York Washington D C

### **Electrical Properties**

Table 291. RESISTIVITY OF CERAMICS (SHEET 4 OF 6)

-			_ · _ · _ · _ · _ · _ · _ · _ · _ ·
The second second	Ceramic	Resistivity (Ω–cm)	Temperature Range of Validity
	Aluminum Oxide (Al <sub>2</sub> O <sub>3</sub> )	>10x10 <sup>14</sup> 2x10 <sup>13</sup> 1x10 <sup>13</sup>	25°C 100°C 300°C
		6.3x10 <sup>10</sup> 5.0x10 <sup>8</sup>	500°C
10 m		2x10 <sup>6</sup>	1000°C
	Beryllium Oxide (BeO)  Magnesium Oxide (MgO)	>10 <sup>17</sup> >10 <sup>15</sup> 1-5x10 <sup>15</sup> 1.5-2x10 <sup>15</sup> 4-7x10 <sup>15</sup> 1.3x10 <sup>15</sup> 0.2-1x10 <sup>8</sup> 4x10 <sup>2</sup>	25°C 300°C 500°C 700°C 1000°C 27°C 1000°C
	Silkon Dioxide (SiO <sub>2</sub> ) Zinconium Oxide (ZrO <sub>2</sub> )	10 <sup>18</sup>	room temp.
	(tabilized) (stabilized) (stabilized) (stabilized) (stabilized)	2300 77 9.4 1.6 0.59	700°C 1200°C 1300°C 1700°C 2000°C
	(siabilized)	0.37	2200°C

compiled by J.S. Park from No. 1 Materials Index. Peter T.B. Shaffer, Plenum Press, (1964); Smithells Metals Reference Book, Eric A. Brandes, ed., in association with carch institute Ltd. 6th ed. London, Butterworths, Boston, (1983); and Ceramic Ericans Ceramic Society (1986–1991).

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### APPENDIX

## insight review articles

## Materials for fuel-cell technologies

Brjan C. K. Strado" & Angelika Heinzeltt

feat calls consent chemical energy directly into electrical energy with high bilithatry and have emission of polithatists, between, before (includingly ear gain a significant status at the electrical power market, emportant issues have to be addressed. These betwee finding choice of fuel, and the development of alternated in the heal-cell stack. Present to level produpes often use materials selected more than 12 years age, Commercialization espects, including cost and carabitity, has meretaid instalquadass in the scans of three most produces of innovative status in the scarch and development of innovative statushish, when we summarize recent progress in the scarch and development of innovative statushishs.

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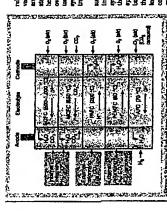
ebectrobyses convent tink, with appropriate design strategies, the ASR wakes of these components can be small. Although

## Summary of Comments on Steele

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## olymeric electrolyto-membrane fuel cells

The most important materials under development for PEMFC staces are construction materials for the cell frames, lapoles plates, electrocatalysis for the faul and eir electrode, and the ton conducting

Depending on the fact to be used in the PEM cell the requirement for these materials are completely different. The simplest ease is the operation with pure hydrogen and onygen or alt. Cells with bigh

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power chastly and very low degraciation are attestly into the fire and the mining from the control of the definitive to to achieve reduction in the regulation crupture and the definitive to the charge reducts and their cation, and she by scaling-up the production volume. This regulated is considered the materials read up to more with the control possibility is to operate the PBM call with a takings in Multi-limitation, hard presented Control of the first electrode in particular, and a Charlest at scalings for the first electrode in particular, and a Charlest at scalings for the first electrode in particular, and a Charlest and a catalysts is admined. The most difficult option is the direct machino in behavior in machino is better of catalotts. In a scalend CO species are formed during the electrode and modern in the director catalotts. In a scalend CO species are formed during the electrode of modernia behavior in a way demilie to waker and readily permented 5 in trongs forthly committees in a way dimilie to waker and readily permented 5 in trongs forthly womentimes makering. The development of broncaline menchance makerials at the size of the first of and of provider and the charles are defined as the confidence with a battle in emperature and another he in electrode and a battle in the presence of CO by the charlest confidence with a battle interpersion stability is also sonther being machines with a battle interpersion.

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where E<sub>s</sub> is the upper clicult voltago, and JA's the heat of the uverall collection. Thus

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& la the arm specific mostology of the cell computements (beschodyn, armon and armond, have the red, the section to selent armond the interval range evident cole assembly (ALEA) for PDAMP or yetterns, and possible-educardyse-regarden (PEA) for SCOP systems, and

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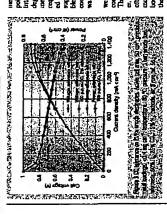
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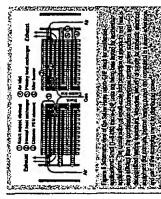
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Spar Plug use alumina since it is electrically insulator:

APPENDIX E

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http://yshsp.en.alibaba.com/search/offer

Auto Ignition System use alumina since it is an insulator:

http://starsparkplug.en.alibaba.com/offerlist.html

Alumina insulating coating:

http://www.freepatentsonline.com/6844023.html

### Shanghai Morgan Matroc Technical Ceramics Co., Ltd

High efficiency alumina heat-electric Insulator for welding and heat treatment. Morgan Technical Ceramics Shanghai produces a complete range of ceramic ... www.morganmatrocsh.com/e\_chanpin\_7.htm - 15k - Cached - Similar pages

Ortech industry -table containing alumina 's properties:

http://www.ortechceramics.com/alumina.htm

Ceramic Tube and Ceramic Rod Products - (111 companies)

Ceramic tube and ceramic rod products are sultable for use in applications requiring high temperature strength, erosion resistance, electrical or thermal insulation, and other specialized characteristics. Search by Specification | Learn more about Ceramic Tube and Ceramic Rod Products

Alumina insulator:

http://www.prgtech.com/engineering.html

Alumina use in thermocouple assembly as a insulator:

### PDFJ Noble Metal

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elements, elements with **Insulators** or assemblies. A typical, assembly includes a head, alumina **Insulators** and a protecting ...

www.watlow.com/literature/specsheets/files/sensors/ricnom0305.pdf - Similar pages

Page 8 -shows use of alumina tube in an assembly as a insulator:

### Henry Rohrs poster

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Alumina Insulator. Nickel Seat. Gold O-Ring. SS O-Ring Seat. Vespel Support. Sapphire Ball. PZT Bimorph. Pulsed Valve. The pulsed valve consists of a ... www.chemistry.wustl.edu/~msf/ASMS98/Pshenry98.pdf - Similar pages

Goodfellow- a table listing volume resistivity of alumina >10<sup>14</sup> ohm-cm.;

http://www.azom.com/details.asp?ArticleID=2103

### APPENDIX F

There are no related appeals, interferences, or judicial proceedings.